

Thomas v. Lennox Industries Inc.
Settlement Administrator
P.O. Box 43375
Providence, RI 02940-3375

LXT

«Barcode»

Claim #: LXT-DEF-«ClaimID» «MailRec»

«First1» «Last1»

«CO»

«Addr1» «Addr2»

«City», «ST» «Zip»

«Country»

CERTIFICATE FOR THE THOMAS v. LENNOX SETTLEMENT
EXPANDED WARRANTY AND REIMBURSEMENT PROGRAM

IMPORTANT: Save this Certificate with other important papers related to your air conditioning or heat pump system, as you will need the information in this Certificate in order to obtain the benefits of the *Thomas v. Lennox* Settlement. Read this Certificate carefully. The settlement benefits and the deadlines to redeem them are explained in this Certificate.

Date the Certificate was issued (mm/dd/yyyy): «IssueDate»

Authorized Claimant Name (the “Claimant”): «First1» «Last1»

Address of residence where the Original Coil was installed:

«Addr1» «Addr2»

«City», «ST» «Zip»

«Country»

Unit Type for Original Coil: «UnitType» [Coil, Air Handler, or Packaged Unit]

Model Number of Unit Type for Original Coil: «ModelNo»

Serial Number of Unit Type for Original Coil: «SerialNo»

(above information to be completed by Lennox or Settlement Administrator from information in the Claimant’s Claim Form before the Certificate is mailed to the Claimant)

The named Claimant submitted a valid and timely Claim Form and is therefore authorized to receive benefits as part of the Settlement of the *Thomas v. Lennox Industries Inc.* lawsuit. This Certificate explains the terms and conditions of the Expanded Warranty and Reimbursement Program and how the Claimant can receive the settlement benefits. For more information about the Settlement and benefits, go to www.evaporatorcoillawsuit.com, call 1-888-841-1363, or send an email to admin@evaporatorcoillawsuit.com. The Claimant is not required to be in physical possession of this Certificate to be entitled to receive any benefit to which Claimant is otherwise entitled pursuant to the terms of the Settlement.

A. \$75 Service Rebate

Eligibility. Claimant will be eligible to receive the Service Rebate if the Claimant has replaced or replaces the Original Coil:

- with a Replacement Coil;
- because of a Coil leak;
- under and in accordance with the Original Warranty; and
- within five years after installation of the Original Coil.

How to Request the Service Rebate Certificate be Issued.

- (1) If the Claimant replaced the Original Coil as of the time he or she submitted the Claim Form, the Claimant was required to submit with the Claim Form the information and documentation necessary for the Service Rebate Certificate to be issued. No further action by the Claimant is required for the Service Rebate Certificate to be issued.
- (2) If the Claimant had not replaced the Original Coil as of the time he or she submitted the Claim Form, the Claimant must submit a Request for Benefits Form and supporting documentation **by the later of (a) 60 days after the date the Original Coil is replaced by installation of a Replacement Coil, or (b) 60 days after the date on this Certificate.**

Validity Term. The rebate is valid for *one year from the date the Service Rebate Certificate is issued.* A Service Rebate Certificate will be mailed to Claimants on the date issued. The Service Rebate Certificate will not be issued until the Settlement becomes final.

Coverage. The Service Rebate applies to:

- service, including routine, preventive and other maintenance;
- performed by an independent dealer listed at www.evaporatorcoillawsuit.com;
- after the date the rebate is issued; and
- on the Replacement Coil or on any Lennox brand, Aire-Flo brand, Armstrong Air brand, AirEase brand, Concord brand, or Ducane brand HVAC products installed in the same residence where the Replacement Coil was installed (which residence address is written above).

How to Redeem the Rebate. To redeem the Service Rebate, the Claimant must submit a valid Request for Benefits Form and proof of having received the service and the date the service was received (*e.g.*, invoice or receipt) **within 60 days of the date of the service.**

Payment. On timely receipt of a valid Request for Benefits Form and valid proof, Lennox will send the Claimant a check for \$75.

B. Replacement Coil Warranty

Eligibility. If the Claimant replaces or has replaced Claimant's Original Coil because of a Coil leak within five years after it was installed (the "First Replacement") with an uncoated copper tube Replacement Coil, Claimant is eligible for a five-year part and labor warranty (the "Replacement Coil Warranty") covering the First Replacement Coil. If the Claimant had more than one replacement on or before July 9, 2015, each an uncoated copper tube Replacement Coil, the Replacement Coil Warranty covers the most recent Replacement Coil.

Until a Settlement Class Member becomes an Authorized Claimant, Settlement Class Members who have one or more Replacement Coils replaced during the period beginning on July 10, 2015 and ending on January 11, 2016 (the date the Settlement became final) will be treated as a Settlement Class Member with multiple Coil replacements on or before July 9, 2015.

Effective Term. The Replacement Coil Warranty is in effect until the earlier to occur of (a) the date that is five years after the date the covered Replacement Coil was installed or (b) the date the Claimant receives a coated copper tube or aluminum tube Replacement Coil.

Coverage. If the covered Replacement Coil leaks and requires replacement within the five-year period of the Replacement Coil Warranty, the Claimant is eligible to receive:

- a coated copper tube or aluminum tube Replacement Coil (as determined by Lennox); and
- reimbursement of up to \$550 for the costs of labor and refrigerant to install the coated copper tube or aluminum tube Replacement Coil.

How to Obtain the Warranty Coverage.

- (1) If the Claimant experiences a problem with the Claimant's air conditioning or heat pump system, the Claimant should contact an independent dealer listed at www.evaporatorcoillawsuit.com. If the dealer determines that the covered Replacement Coil is leaking and needs to be replaced, the Claimant can obtain coverage under the Replacement Coil Warranty.
- (2) To receive an aluminum tube or coated copper tube Replacement Coil, the Claimant must call Lennox at 1-877-457-8024. Installation must be performed by an independent dealer listed at www.evaporatorcoillawsuit.com.
- (3) If the Claimant has not previously provided Replacement Coil information and supporting documentation by completing Part 3 of the Claim Form or Part 2 of a Request for Benefits Form, the Claimant must submit a valid Request for Benefits Form containing this information and documentation. For this reason, it is recommended that Replacement Coil information and supporting documentation be submitted as soon as it is available. Waiting to submit this information and documentation until the Claimant is ready to request an aluminum tube or coated copper tube Replacement Coil will delay receipt and installation of the Replacement Coil.
- (4) To receive reimbursement, the Claimant must submit a valid Request for Benefits Form and proof of the amount charged and paid for labor and refrigerant to install the aluminum tube or coated copper tube Replacement Coil **within 60 days of the date the Replacement Coil is installed.**

Payment. On timely receipt of a valid Request for Benefits Form and valid proof, Lennox will send the Claimant a check for the amount paid up to \$550. The check will not be sent until the Settlement becomes final.

C. Reimbursement of Labor and Refrigerant Costs Incurred in Replacing the Original Coil

Coverage. If the Claimant has replaced or replaces an Original Coil for the first time more than one year but equal to or less than five years after the date of installation and received an uncoated copper tube Replacement Coil, and that Replacement Coil is replaced, the Claimant will be eligible to be reimbursed up to \$550 for the costs of labor and refrigerant for the replacement of the Original Coil. If the Replacement Coil is replaced after the Settlement becomes final, it must be made under the Replacement Coil Warranty for the Claimant to be eligible for the retroactive reimbursement.

How to obtain reimbursement. To receive reimbursement, the Claimant must submit (a) proof of the date the Original Coil was replaced and the date the First Replacement Coil was replaced; and (b) proof of the amount charged and paid for labor and refrigerant for replacement of the *Original Coil*.

- (1) If the Claimant replaced both the Original Coil and the First Replacement Coil as of the time he or she submitted the Claim Form, the Claimant was required to submit with the Claim Form the information and documentation necessary for reimbursement. No further action by the Claimant is required to request reimbursement.
- (2) If the Claimant had not replaced the Original Coil or the First Replacement Coil as of the time he or she submitted the Claim Form, the Claimant must submit a Request for Benefits Form and supporting documentation **by the later of (i) 60 days after the date the First Replacement Coil is replaced, or (ii) 60 days after the date on this Certificate**. If the Claimant submitted some of the required proof with his or her Claim Form or a previously submitted Request for Benefits Form, the Claimant does not need to resubmit information and documentation already submitted.

Payment. On timely receipt of valid proof and, if necessary, a valid Request for Benefits Form, Lennox will send the Claimant a check for the amount paid up to \$550. Checks will not be sent until the Settlement becomes final.

D. Reimbursement of Labor and Refrigerant Costs Incurred in Replacing Uncoated Copper Tube Replacement Coils After Replacement of the Original Coil

Coverage. If the Claimant has replaced an uncoated copper tube Replacement Coil once or more than once, the Claimant is eligible to receive reimbursement for the costs of labor and refrigerant to replace the uncoated copper tube Replacement Coil or Replacement Coils for the completed replacements that occurred after installation of the First Replacement Coil and on or before July 9, 2015, up to \$550 per replacement.

Until a Settlement Class Member becomes an Authorized Claimant, Settlement Class Members who have one or more Replacement Coils replaced during the period beginning on July 10, 2015 and ending on January 11, 2016 (the date the Settlement became final) will be treated as a Settlement Class Member with multiple Coil replacements on or before July 9, 2015.

How to obtain reimbursement. Replacement Coil information and proof of the amount charged and paid for labor and refrigerant must be timely submitted for each replacement for which reimbursement is sought.

- (1) For any replacements made as of the time the Claimant submitted the Claim Form, the Claimant was required to submit with the Claim Form the information and proof necessary for the reimbursement. No further action by the Claimant is required to request reimbursement.
- (2) For any replacements made after the time the Claimant submitted the Claim Form and before the date on this Certificate, the Claimant must submit a Request for Benefits Form and proof **within 60 days of the date on this Certificate** to request reimbursement.

Payment. For each qualifying coil replacement, on timely receipt of valid proof of the amount charged and paid for labor and refrigerant and, if necessary, a valid Request for Benefits Form, Lennox will send the Claimant a check for the amount paid up to \$550. Checks will not be sent until the Settlement becomes final.

E. Request for Benefits Forms

To obtain a Request for Benefits Form, go to www.evaporatorcoillawsuit.com or call 1-888-841-1363.

Completed Request for Benefits Forms and requisite proof may be submitted online at www.evaporatorcoillawsuit.com or by mailing them to

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Settlement Administrator
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Providence, RI 02940-3374