

## CLAIM FORM INSTRUCTIONS

Please read the full Notice carefully before filling out this Claim Form.

### **I. ARE YOU A SETTLEMENT CLASS MEMBER**

For you to be eligible for benefits, you must be a member of the Settlement Class and file a valid Claim Form. You are a Settlement Class Member if:

You are a resident of the United States and purchased on or after October 29, 2007 through July 9, 2015 at least one new uncoated copper tube Lennox brand, Aire-Flo brand, Armstrong Air brand, AirEase brand, Concord brand, or Ducane brand evaporator coil (separately, as part of an air handler, or as part of a packaged unit), covered by an Original Warranty (“Original Coil”) for your personal, your family, or your household purposes, that was installed in a house, condominium unit, apartment unit, or other residential dwelling located in the United States.

You are not a Settlement Class Member, even if you meet the above criteria, if:

- You are the judge to whom this Action is assigned or any member of the judge’s immediate family;
- You are one of the lawyers in this Action or a member of any of their immediate families;
- You are the First Mediator, the Honorable Richard Neville, or any member of his immediate family;
- You are the Second Mediator, the Honorable Edward Infante, or any member of his immediate family; or
- You are the government of, or a department of the United States, the District of Columbia, each of the 50 States, each county, city, municipality and town within each of the 50 States, or each other political subdivision of the United States, the District of Columbia, and each of the 50 States.

### **II. GENERAL INSTRUCTIONS**

Read all instructions below before filling out the Claim Form.

1. Type or print legibly all information in blue or black ink.
2. Answer all questions and provide all information and documents asked for on the Claim Form.
3. Sign and date the Claim Form under Part 4. **Your claim will not be processed if your Claim Form has not been signed and dated.**
4. Make a copy of your completed Claim Form for your records. Then either submit the form electronically at [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com) or sign the form and mail it to:

*Thomas v. Lennox Industries Inc.*  
Settlement Administrator  
P.O. Box 43374  
Providence, RI 02940-3374

5. You must complete a separate Claim Form for each Original Coil for which you are making a claim.
6. To be considered timely, your Claim Form must be submitted online or mailed and postmarked by the later of February 1, 2016 or 60 days after your Original Coil is replaced by installation of a Replacement Coil. Failure to submit your claim by this deadline may result in the denial of your claim.
7. No acknowledgement will be made as to the receipt of your claim, except as follows. You will receive a rejection letter if your claim is invalid; and you will receive a deficiency letter if your claim is deficient in ways that you can correct. If your claim is valid, you will be sent a Certificate (the "Certificate") for the Lennox Settlement Expanded Warranty and Reimbursement Program, which explains the terms and conditions of the Program and how you can receive the settlement benefits. If you want further confirmation that your claim was received, please send it via Certified Mail, return receipt requested. To check the status of your claim, go to the Check Claim Status page at [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com).
8. If you have questions about the Settlement, please visit the settlement website [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com), call toll free 1-888-841-1363, send an email to [admin@evaporatorcoillawsuit.com](mailto:admin@evaporatorcoillawsuit.com), or write to *Thomas v. Lennox Industries Inc.* Settlement Administrator, P.O. Box 43374, Providence, RI 02940-3374.

### **III. SETTLEMENT BENEFITS**

All Settlement Class Members are eligible for coverage under an Expanded Warranty and Reimbursement Program. To receive any benefits the Settlement Class Member must be an Authorized Claimant, meaning you have submitted a timely and valid Claim Form. Each Authorized Claimant will receive a Certificate describing the benefits under the Expanded Warranty and Reimbursement Program and providing instructions about when and how to redeem and obtain such benefits.

For each Authorized Claimant who replaced or replaces an Original Coil because of a coil leak within five years after installation of the Original Coil, the Authorized Claimant will be eligible to receive the following benefits as applicable under the Expanded Warranty and Reimbursement Program

- (1) **\$75 Service Rebate**. If you replace or have previously replaced your Original Coil because of a Coil leak within five years after it was installed (the "First Replacement"), you are eligible for a one-time \$75 Service Rebate for service, including routine maintenance, performed after the date the rebate is issued on the Replacement Coil (the evaporator coil that was installed to replace your Original Coil) or on any Lennox brand, Aire-Flo brand, Armstrong Air brand, AirEase brand, Concord brand, or Ducane brand HVAC products installed in the same residence as the Replacement Coil. Timely and valid submission of proof of replacement of your Original Coil is required before the Service Rebate Certificate will be issued. The Service Rebate will be available for one year from the date the Rebate Certificate is issued. Service must be provided by an independent dealer listed at [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com). To redeem the rebate once it has been issued, you must provide proof of service and the date the service was received within 60 days of the date the service was performed. On timely receipt of valid proof of service, Lennox will send the Claimant a check for \$75.

**AND**

(2) Replacement Coil Warranty.

- a. If your First Replacement was/is an uncoated copper tube Replacement Coil, and was/is your only replacement, you will be eligible for a five-year part and labor warranty (the “Replacement Coil Warranty”) covering the First Replacement Coil. If the First Replacement Coil is replaced within five years of being installed, after July 9, 2015, and pursuant to a claim made under the Replacement Coil Warranty, you will be eligible to receive a coated copper tube Replacement Coil or aluminum tube Replacement Coil (the “Second Replacement”) at no charge for the Replacement Coil itself, and reimbursement up to \$550 for labor and refrigerant charges to install the

Second Replacement Coil. You must notify Lennox as specified in the Certificate to arrange for provision of the coated copper or aluminum tube Replacement Coil. Installation must be performed by an independent dealer listed at [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com). Reimbursement will be made only if you provide proof of the amount charged and the amount paid for labor and refrigerant related to the installation of the Second Replacement Coil. Proof must be submitted within 60 days of the installation of the coated copper or aluminum tube Replacement Coil.

**OR**

- a. If you had more than one replacement on or before July 9, 2015, each an uncoated copper tube Replacement Coil, you will be eligible for the Replacement Coil Warranty covering the most recent Replacement Coil. If the most recent Replacement Coil is replaced within five years of being installed, after July 9, 2015, and pursuant to a claim made under the Replacement Coil Warranty, you will be eligible to receive a coated copper tube Replacement Coil or aluminum tube Replacement Coil at no charge for the Replacement Coil itself, and reimbursement up to \$550 for labor and refrigerant charges to install the coated copper tube Replacement Coil or aluminum tube Replacement Coil. You must notify Lennox as specified in the Certificate to arrange for provision of the coated copper or aluminum tube Replacement Coil. Installation must be performed by an independent dealer listed at [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com). Reimbursement will be made only if you provide proof of the amount charged and the amount paid for labor and refrigerant related to the installation of the coated copper or aluminum tube Replacement Coil. Proof must be submitted within 60 days of the installation of the coated copper or aluminum tube Replacement Coil.

**AND**

- (3) Reimbursement for Replacements after the First Replacement. If you had more than one replacement on or before July 9, 2015, for each uncoated copper tube Replacement Coil that is replaced, you will also be eligible to receive reimbursement for the costs of labor and refrigerant for the completed replacements that occurred after the First Replacement and on or before July 9, 2015 up to \$550 per replacement. To be reimbursed, you must provide proof of the amount charged and the amount paid for labor and refrigerant related to the installation of the subsequent Replacement Coils.

**AND**

- (4) Retroactive reimbursement for the First Replacement. If your First Replacement was made more than one year after installation of the Original Coil and was an uncoated copper tube Replacement Coil, and if that Replacement Coil is replaced, you will be eligible to receive reimbursement for the costs of labor and refrigerant for the First Replacement up to \$550. If the Replacement Coil is replaced after the Settlement becomes final, it must be made under the Replacement Coil Warranty for you to be eligible for the retroactive reimbursement. To be reimbursed, you must provide proof of the amount charged and the amount paid for labor and refrigerant related to installation of the First Replacement Coil.

If you have replaced your Original Coil, you may be eligible for some or all of the benefits listed above. Fill out all parts of the Claim Form.

If you have not replaced your Original Coil, you are not eligible to redeem and obtain benefits under the Expanded Warranty and Reimbursement Program at this time, but may be eligible to do so in the future. Fill out only Parts 1, 2 and 4 of the Claim Form if submitting your Claim Form now. Or, in the alternative, you can wait until you have replaced your Original Coil, then fill out all parts of the Claim Form, and submit the Claim Form by the later of February 1, 2016 or 60 days after your Original Coil is replaced by installation of a Replacement Coil.

To redeem and obtain certain benefits under the Expanded Warranty and Reimbursement Program for which you may first become eligible after submission of your Claim Form, you must complete and submit follow-up Request for Benefits Forms with information and supporting documentation not included in the Claim Form. To obtain a Request for Benefits Form, go to [www.evaporatorcoillawsuit.com](http://www.evaporatorcoillawsuit.com) or call 1-888-841-1363.